GOLD ROCK INVESTMENTS LIMITED

CIN NO.: L65990MH1978PLC020117
Regd. Off.: 507, 5th Floor, Plot No. 31, 1, Sharda Chamber, Narsi Natha Street, Bhat Bazar Masjid, Chinchbunder Mumbai-400009
Tel.:022-49734998 E-mail id: goldrockinvest@yahoo.co.in Website: www.goldrockinvest.in

30th May, 2026

To,
Corporate Services Department,
BSE Limited, P J Towers,
Dalal Street,
Mumbai-400 001

Dear Sir / Madam,

Sub: Annual Secretarial Compliance Report for the year ended March 31, 2026 as per Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1//27/2019 dated 8th February, 2019, please find enclosed herewith the Annual Secretarial Compliance Report for the year ended March 31, 2026 issued by Shivani Agarwal Practicing Company Secretaries.

Please take note of the same and acknowledge the receipt.

Kindly take the above on record.

Thanking you,

Yours faithfully,

For Gold Rock Investments Limited

Alok Mukherjee
(Managing Director)
DIN : 00186055

GOLD ROCK INVESTMENTS LIMITED

ANNUAL SECRETARIAL COMPLIANCE REPORT OF GOLD ROCK INVESTMENTSLIMITED FOR THE YEAR ENDED 31 MARCH, 2026

(Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)

We have examined:

(a) all the documents and records made available to us and explanation provided by **Gold Rock Investments Limited ("the listed entity/ Company") (CINL65990MH1978PLC020117)**having its registered office at 507, 5th Floor, Plot No. 31, 1, Sharda Chamber, Narsi Natha Street, Bhat Bazar Masjid, Ch, inchbunder, Mumbai, Mumbai City, Maharashtra, India 400009

(b) the filings/submissions made by the Company to the stock exchanges,

(c) website of the Company,

(d) any other document/filing, as may be relevant, which has been relied upon to make this certification

for the year ended 31 March, 2026 (Review Period) in respect of compliance with the provisions of:

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and

(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date(herein after referred as "**SEBI LODR**");

(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018as amended till date(herein after referred as "**SEBI ICDR")(Not applicable to the Company during the Review Period);**

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 as amended till date; 2018

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(d) Securities and Exchange Board of India(Buyback of Securities) Regulations, 2018 **(Not applicable to the Company during the Audit Period)**

(e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 **(Not applicable to the Company during the Audit Period);**

(f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021; as amended till date; **(Not applicable to the Company during the Audit Period)**

(g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 as amended till date; and

(h) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

and circulars/ guidelines issued there under; and based on the above examination, we hereby report that, during the Review Period:

(a) The Company has complied with the provisions of the above Regulations and circulars/guidelines issued there under.

(b) The Company has taken the following actions to comply with the observations made in previous reports: **(Not Applicable as there was no observation in the previous secretarial compliance reports of the Company)**

We hereby report that, during the review period the compliance status of the listed entity with the following requirements

S.No.	Particulars	Compliance Status (Yes / No/ NA)	Observations/ Remarks by PCS

SHIVANI AGARWAL CP : 18282 Practising Company Secretary

1.	**Secretarial Standard** The compliances of listed entities are in accordance with the applicable Secretarial Standards (SS) issued by the Institute ofCompany Secretaries India (ICSI)	YES	None
2.	**Adoption and timely updation of the Policies:**		
	All the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulations/ circulars guidelines issued by SEBI	YES	None
	All applicable policies under SEBI Regulations are adopted withthe approval of board of directors of the listed entities	YES	None
3.	**Maintenance and disclosures on Website:**		
	• The Listed entity is maintaining a functional website	YES	None
	• Timely dissemination of the documents/ information under a separate section on the website	YES	None
	• Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which redirects to the relevant document(s)/ section of the website	YES	None
4.	**Disqualification of Director** None of the Director of the Company	YES	None

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CP : 18282

	are disqualified under Section 164 of the Companies Act, 2013		
5.	**Details related to Subsidiaries of listed entities**		
	• Identification of material subsidiary companies	Yes	The Company has no material subsidiary Company during the review period.
	• Requirement with respect to disclosure of material as well as other subsidiaries	Yes	None
6.	**Preservation of Documents:** The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015	YES	None
7.	**Performance Evaluation:** The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations	YES	None
8.	**Related Party Transactions:**		
	• The Listed entity has obtained prior approval of Audit Committee for all Related party transaction	YES	All Related party transactions were entered after obtaining prior approval of the audit committee.
	• In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transaction were subsequently approved/ ratified/ rejected by the Audit Committee	NA	None
9.	**Disclosure of events or information:**	YES	None

	The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed there under		
10.	**Prohibition of Insider Trading:** The listed entity is in compliance with Regulation 3(5) & 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015	YES	None
11.	**Actions taken by SEBI or Stock Exchange(s), if any** No Actions taken against the listed entity/ its promoters / directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder	NA	None
12.	**Resignation of statutory auditors from the listed entity or its material subsidiaries** In case of resignation of statutory auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity and / or its material subsidiary(ies) has / have complied with paragraph 6.1 and 6.2 of section V-D of chapter V of the Master Circular on compliance with the provisions of the LODR Regulations by listed entities.	Nil	The statutory auditors have not resigned during the review period.
13.	**Additional Non-compliances, if any:** No additional non-compliance observed for any SEBI regulation/circular/guidance note etc.	NA	No non-compliance was observed

a) We hereby confirm that the company has not issued any Employees Benefit Scheme and therefore the disclosure as required under regulation 46(2)(za) of the SEBI LODR read with SEBI circular SEBI/HO/CFD/CFD-PoD-2/CIR/P/2024/185 dated 31st December, 2024 is not

SHIVANI AGARWAL * Practising Company Secretary * CP : 18282

applicable on the company.

ASSUMPTIONS & LIMITATION OF SCOPE AND REVIEW:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
2. Our responsibility is to report based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. We have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.
4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the LODR Regulations and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

For **DMK Associates**
Company Secretaries

SHIVANI AGARWAL
CP : 18282
Practising Company Secretary

Date :29.05.2026
Place : New Delhi
UDIN :A036232H000544674

(SHIVANI AGARWAL)
ACS, LL.B., B. Com
PARTNER
ACS No. 36232, CP No. 18282
Peer Review No. 6896/2025